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## Multimedia Data-Embedding and Watermarking Technologies

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Invited Paper

In this paper, we review recent developments in transparent data embedding and watermarking for audio, image, and video. Data-embedding and watermarking algorithms embed text, binary streams, audio, image, or video in a host audio, image, or video signal. The embedded data are perceptually inaudible or invisible to maintain the quality of the source data. The embedded data can add features to the host multimedia signal, e.g., multilingual soundtracks in a movie, or provide copyright protection. We discuss the reliability of data-embedding procedures and their ability to deliver new services such as viewing a movie in a given rated version from a single multicast stream. We also discuss the issues and problems associated with copy and copyright protections and assess the viability of current watermarking algorithms as a means for protecting copyrighted data.

Keywords—Copyright protection, data embedding, steganography, watermarking.

#### I. INTRODUCTION

The past few years have seen an explosion in the use of digital media. Industry is making significant investments to deliver digital audio, image, and video information to consumers and customers. A new infrastructure of digital audio, image, and video recorders and players, on-line services, and electronic commerce is rapidly being deployed. At the same time, major corporations are converting their audio, image, and video archives to an electronic form.

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Digital media offer several distinct advantages over analog media: the quality of digital audio, image, and video signals is higher than that of their analog counterparts. Editing is easy because one can access the exact discrete locations that should be changed. Copying is simple with no loss of fidelity. A copy of a digital media is identical to the original. Digital audio, image, and videos are easily transmitted over networked information systems.

These advantages have opened up many new possibilities. In particular, it is possible to hide data (information) within digital audio, image, and video files. The information is hidden in the sense that it is perceptually and statistically undetectable. With many schemes, the hidden information can still be recovered if the host signal is compressed, edited, or converted from digital to analog format and back.

As we shall see in Section II, pure analog data-hiding techniques had been developed in the past. However, these techniques are not as robust as most of the digital data hiding techniques that we review in this paper. Furthermore, they cannot embed as much data in a host signal as the digital approaches.

Digital data embedding has many applications. Foremost is passive and active copyright protection. Many of the inherent advantages of digital signals increase problems associated with copyright enforcement. For this reason, creators and distributors of digital data are hesitant to provide access to their intellectual property. Digital watermarking has been proposed as a means to identify the owner or distributor of digital data.

Data embedding also provides a mechanism for embedding important control, descriptive, or reference information in a given signal. This information can be used for tracking the use of a particular clip, e.g., for pay-per-use applications, including billing for commercials and video and audio broadcast, as well as Internet electronic commerce of digital media. It can be used to track audio or visual object creation, manipulation, and modification history within a given signal without the overhead associated with creating

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he knows that the host signal contains data and is familiar with the exact algorithm for embedding the data. Note that in some applications, e.g., covert communications, the data may also be encrypted prior to insertion in a host signal.

#### F. Copyright Protection and Ownership Deadlock

Data-embedding algorithms may be used to establish ownership and distribution of data. In fact, this is the application of data embedding or watermarking that has received most attention in the literature. Unfortunately, most current watermarking schemes are unable to resolve rightful ownership of digital data when multiple ownership claims are made, i.e., when a deadlock problem arises. The inability of many data-embedding algorithms to deal with deadlock, first described by Craver et al. [15], is independent of how the watermark is inserted in the multimedia data or how robust it is to various types of modifications.

Today, no scheme can unambiguously determine ownership of a given multimedia signal if it does not use an original or other copy in the detection process to at least construct the watermark to be detected. A pirate can simply add his watermark to the watermarked data or counterfeit a watermark that correlates well or is detected in the contested signal. Current data-embedding schemes used as copyright-protection algorithms are unable to establish who watermarked the data first. Furthermore, none of the current data-embedding schemes has been proven to be immune to counterfeiting watermarks that will correlate well with a given signal as long as the watermark is not restricted to depend partially in a noninvertible manner on the signal.

If the detection scheme can make use of the original to construct the watermark, then it may be possible to establish unambiguous ownership of the data regardless of whether the detection scheme subtracts the original from the signal under consideration prior to watermark detection or not. Specifically, [16] derives a set of sufficient conditions that watermarks and watermarking schemes must satisfy to provide unambiguous proof of ownership. For example, one can use watermarks derived from pseudorandom sequences that depend on the signal and the author. Reference [16] establishes that this will work for all watermarking procedures regardless of whether they subtract the original from the signal under consideration prior to watermark detection or not. Reference [85] independently derived a similar result for a restricted class of watermarking techniques that rely on subtracting a signal derived from the original from the signal under consideration prior to watermark detection. The signal-dependent key also helps to thwart the "mixand-match" attack described in [16].

An author can construct a watermark that depends on the signal and the author and provides unambiguous proof of ownership as follows. The author has two random keys  $x_1$  and  $x_2$  (i.e., seeds) from which a pseudorandom sequence y can be generated using a suitable pseudorandom sequence generator [76]. Popular generators include RSA, Rabin, Blum/Micali, and Blum/Blum/Shub [25]. With the two

proper keys, the watermark may be extracted. Without the two keys, the data hidden in the signal are statistically undetectable and impossible to recover. Note that classical maximal length pseudonoise sequences (i.e., m-sequence) generated by linear feedback shift registers are not used to generate a watermark. Sequences generated by shift registers are cryptographically insecure: one can solve for the feedback pattern (i.e., the keys) given a small number of output bits y.

The noise-like sequence y may be used to derive the actual watermark hidden into the signal or to control the operation of the watermarking algorithm, e.g., to determine the location of pixels that may be modified. The key  $x_1$ is author dependent. The key  $x_2$  is signal dependent. The key  $x_1$  is the secret key assigned to (or chosen by) the author. The key  $x_2$  is computed from the signal that the author wishes to watermark. It is computed from the signal using a one-way hash function. For example, the tolerable error levels supplied by masking models (see Section IV) are hashed in [85] to a key  $x_2$ . Any one of a number of well-known secure one-way hash functions may be used to compute  $x_2$ , including RSA, MD4 [77], and SHA [60]. For example, the Blum/Blum/Shub pseudorandom generator uses the one-way function  $y = g_n(x) = x^2 \mod n$ , where n = pq for primes p and q so that  $p = q = 3 \mod 4$ . It can be shown that generating x or y from partial knowledge. of y is computationally infeasible for the Blum/Blum/Shub generator.

The signal-dependent key  $x_2$  makes counterfeiting very difficult. The pirate can only provide key  $x_1$  to the arbitrator. Key  $x_2$  is automatically computed by the watermarking algorithm from the original signal. As it is computationally infeasible to invert the one-way hash function, the pirate is unable to fabricate a counterfeit original that generates a desired or predetermined watermark.

Deadlock may also be resolved using the dual water-marking scheme of [85]. That scheme employs a pair of watermarks. One watermarking procedure requires the original data set for watermark detection. The second watermarking procedure does not require the original data set. A data-embedding technique that satisfies the restrictions outlined in [16] can be used to insert the second watermark.

The above discussion clearly highlights the limitation of watermarking as an unambiguous mean of establishing ownership. Future clever attacks may show that the schemes described in [16] or [85] are still vulnerable to deadlock. Furthermore, all parties would need to use watermarking techniques that have been proven or certified to be immune to deadlock to establish ownership of media. Note also that contentions of ownership can occur in too many different forms. Copyright protection will probably not be resolved exclusively by one group or even the entire technical community since it involves too many legal issues, including the very definition of similarity and derived works. Many multidisciplinary efforts are currently investigating standards and rules for national and international copyright protection and enforcement in the digital age.

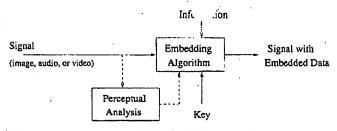


Fig. 1. Diagram of a data-embedding algorithm. The information is embedded into the signal using the embedding algorithm and a key. The dashed lines indicate that the algorithm may directly exploit perceptual analysis to embed information.

#### IV. SIGNAL INSERTION: THE ROLE OF MASKING

The first problem that all data-embedding and watermarking schemes need to address is that of inserting data in the digital signal without deteriorating its perceptual quality. Of course, we must be able to retrieve the data from the edited host signal, i.e., the insertion method must also be invertible. Since the data-insertion and data-recovery procedures are intimately related, the insertion scheme must take into account the requirement of the data-embedding application. In many applications, we will need to be able to retrieve the data even when the host signal has undergone modifications, such as compression, editing, or translation between formats, including A/D and D/A conversions.

Data insertion is possible because the digital medium is ultimately consumed by a human. The human hearing and visual systems are imperfect detectors. Audio and visual signals must have a minimum intensity or contrast level before they can be detected by a human. These minimum levels depend on the spatial, temporal, and frequency characteristics of the human auditory and visual systems. Further, the human hearing and visual systems are characterized by an important phenomenon called masking. Masking refers to the fact that a component in a given audio or visual signal may become imperceptible in the presence of another signal called the masker. Most signal-coding techniques (e.g., [41]) exploit the characteristics of the human auditory and visual systems directly or indirectly. Likewise, all data-embedding techniques exploit the characteristics of the human auditory and visual systems implicitly or explicitly (see Fig. 1). In fact, embedding data would not be possible without the limitations of the human visual and auditory systems. For example, it is not possible to modify a binary stream that represents programs or numbers that will be interpreted by a computer. The modification would directly and adversely affect the output of the computer.

#### A. The Human Auditory System (HAS)

Audio masking is the effect by which a faint but audible sound becomes inaudible in the presence of another louder audible sound, i.e., the masker [42]. The masking effect depends on the spectral and temporal characteristics of both the masked signal and the masker.

Frequency masking refers to masking between frequency components in the audio signal. If two signals that occur simultaneously are close together in frequency, the stronger masking signal may make the weaker signal inaudible. The masking threshold of a masker depends on the frequency, sound pressure level, and tone-like or noise-like characteristics of both the masker and the masked signal [61]. It is easier for a broad-band noise to mask a tonal signal than for a tonal signal to mask out a broad-band noise. Moreover, higher frequency signals are more easily masked.

The human ear acts as a frequency analyzer and can detect sounds with frequencies that vary from 10 to 20000 Hz. The HAS can be modeled by a set of bandpass filters with bandwidths that increase with increasing frequency. The bands are known as the critical bands. The critical bands are defined around a center frequency in which the noise bandwidth is increased until there is a just noticeable difference in the tone at the center frequency. Thus, if a faint tone lies in the critical band of a louder tone, the faint tone will not be perceptible.

Frequency-masking models are readily obtained from the current generation of high-quality audio codecs, e.g., the masking model defined in the International Standards Organization (ISO)-MPEG Audio Psychoacoustic Model 1 for Layer I [40]. The Layer I masking method is summarized as follows for a 32-kHz sampling rate. The MPEG model also supports sampling rates of 44.1 and 48 kHz.

The frequency mask is computed on localized segments (or windows) of the audio signal. The first step consists of computing the power spectrum of a short window (512 or 1024 samples) of the audio signal. Tonal (sinusoidal) and nontonal (noisy) components in the spectrum are identified because their masking models are different. A tonal component is a local maximum of the spectrum. The auditory system behaves as a bank of bandpass filters, with continuously overlapping center frequencies. These "auditory filters" can be approximated by rectangular filters with critical bandwidth increasing with frequency. In this model, the audible band is therefore divided into 24 nonregular critical bands.

Next, components below the absolute hearing threshold and tonal components separated by less than 0.5 Barks are removed. The final step consists of computing individual and global masking thresholds. The frequency axis is discretized according to hearing sensitivity and express frequencies in Barks. Note that hearing sensitivity is higher at low frequencies. The resulting masking curves are almost linear and depend on a masking index different for tonal and nontonal components. They are characterized by different lower and upper slopes depending on the distance between the masked and the masking component. We use  $f_1$  to denote the set of frequencies present in the test signal. The global masking threshold for each frequency  $f_2$  takes into account the absolute hearing threshold  $S_a$  and the masking curves  $P_2$  of the  $N_t$  tonal components and  $N_n$  nontonal components

$$S_m(f_2) = 10 * \log_{10} \left[ 10^{S_a(f_2)/10} + \sum_{j=1}^{N_i} 10^{P_2(f_2, f_1, P_1)/10} + \sum_{j=1}^{N_n} 10^{P_2(f_2, f_1, P_1)/10} \right]. \tag{1}$$

#### AFFIDAVIT OF JAMES T. GRIFFIN

James T. \_\_\_\_ declares as follows:

1. I have been presented with a ZIP file entitled 1033. ZIP containing, among other files, another ZIP file entitled 1033-SRC. ZIP, which contains an executable program file entitled GPG. EXE and a document in Adobe Acrobat format entitled 1033-ART. PDF. After extracting GPG. EXE from 1033-SRC. ZIP, I executed it from the Windows 98/NT/2000 command line with the following text:

gpg --print-md shal 1033.zip

I then saw a display appear in the program window with a string of alphanumeric characters. The characters were identical to those printed below this paragraph and repeated throughout the background of this entire paper as vertically oriented digits in various outline fonts.

#### 8D06 7D30 7CDD 969E 3C3C C57D E9E3 467D 2E54 6AC3

- 2 I opened 1033-ART.PDF, which was also extracted from 1033.ZIP, and viewed it on my computer screen. I carefully compared the displayed document with an original artwork having images of flowers formed from torn-out pieces of paper, fixed to a piece of construction paper beneath a transparent laminating surface.
  - 3. I personally confirmed that 1033-ART PDF is a true and correct) reproduction of the original artwork, to the degree of accuracy permitted by the displayed reproduction. The only visible discrepancies between the reproduction and the original artwork are (1) the coloration of the background, which appears as a washed-out green in the original artwork and has more of a purplish hue in the reproduction, and (2) the lower 1 inch or so of the original artwork is not shown in the reproduction.

I declare under penalty of perjury that the foregoing statements are true and correct.

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Notary

Notary: Outline digits are in background of entire document. Please stamp over digits in space above.

G-1

## APPENDIX H FILING CONTENTS AUTHENTICATION

Joe Agent creates a document when filing a patent application for a client. He wants an additional piece of evidence that the patent application was mailed and that what was mailed was the patent application. So, he creates a PDF file of the application's text and drawings and computes the SHA1 hash of the PDF file using some the free GPG software ("GNU Privacy

Guard"), which he trusts. He makes a copy of the Express Mail label under which the application is to be mailed BEFORE having the label initialed and dated by the postal worker.

He then makes a Word 97 document that includes a brief block of text for a witness to sign and date. The block of text includes the Express Mail label and the hash. The document displays the digits of the hash as vertically oriented digits in various outline fonts, as

with the ACI (See, e.g., Appendix A.) He then puts the photocopy of the non-initialed express

mail label in his printer's paper supply, and prints the word 97 (RTM) document.

The resulting document bears the image of the non-initialed express mail label with the hash of the patent application PDF file throughout its background and in a block of text of the top. Joe presents the document to Jane Attorney, an attorney in the office next door, for her dated signature. Now he can present the PDF file and the signed and dated paper document as evidence that the patent application reproduced in the PDF file existed before the express mail label was used to mail a package. This provides evidence that the patent application, reproduced in the PDF file, was actually what was mailed on the date of the express mail label. The proof can be made a bit

stronger by including the express mail label number in a footer on the first page of the patent application, so that the PDF file contains the express mail label number shown in the document. But that's going way beyond the level of evidence currently needed to reconstruct a file lost by the PTO.

This particular embodiment of the inventions can be employed any situation where proof of mailing of a particular document is desired, without the need for digital signatures and the associated hassles of public key authentication. The witness to this document doesn't even

need to know what digital signatures or hash codes are. He or she is simply testifying as to the existence of the document with that particular code.

$$f(x,y,N) = \left(\frac{x-y}{x}\right)^{N}$$

$$f(x,y,N) = \exp\left(N \cdot \ln\left(\left|1 - \frac{1}{x} \cdot y\right|\right)\right) \cdot \cos\left[N \cdot \left(\frac{1}{2} - \frac{1}{2} \cdot 1\right) \cdot \pi\right] \dots$$

$$+ i \cdot \exp\left(N \cdot \ln\left(\left|1 - \frac{1}{x} \cdot y\right|\right)\right) \cdot \sin\left[N \cdot \left(\frac{1}{2} - \frac{1}{2} \cdot 1\right) \cdot \pi\right]$$

$$f(x,y,N) = \exp\left(N \cdot \ln\left(\left|1 - \frac{1}{x} \cdot y\right|\right)\right) \cdot \sin\left[N \cdot \left(\frac{1}{2} - \frac{1}{2} \cdot 1\right) \cdot \pi\right]$$

$$f(x,y,N) := \exp\left(N \cdot \ln\left(\left|1 - \frac{1}{x} \cdot y\right|\right)\right) \cdot \sin\left[N \cdot \left(\frac{1}{2} - \frac{1}{2} \cdot 1\right) \cdot \pi\right]$$

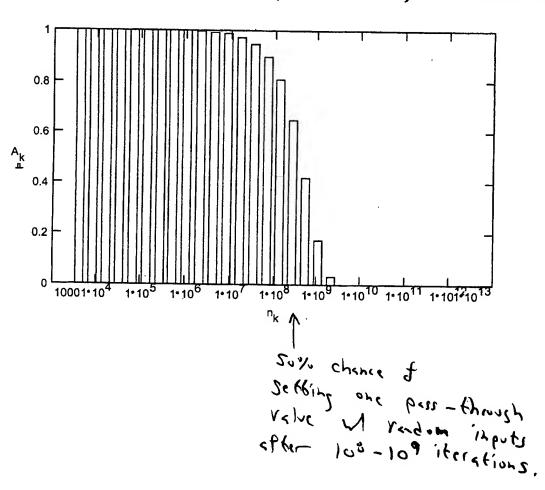
$$f(x,y,N) := \exp\left(N \cdot \ln\left(\left|1 - \frac{1}{x} \cdot y\right|\right)\right)$$

$$x := 2^{32} \quad y := 7 \quad \bigcirc = 2^{32}$$

$$k := 12 \dots 40 \quad n_k := 2^k$$

$$A_k := f(x,y,n_k)$$

Graph: Product of probabilities of obtaining pass-through value for each iteration, given n iterations.

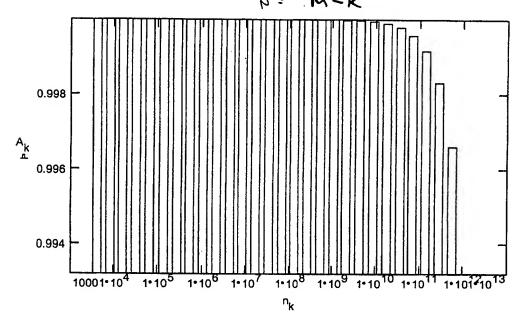


I-1

 $x := 2^{50}$  Larger M  $A_k := f(x, y, n_k)$  Same k

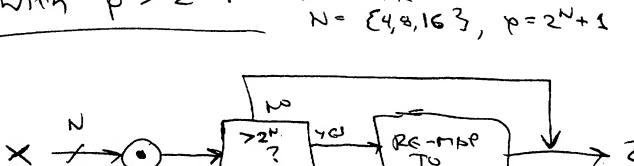
charged output, i.e.,

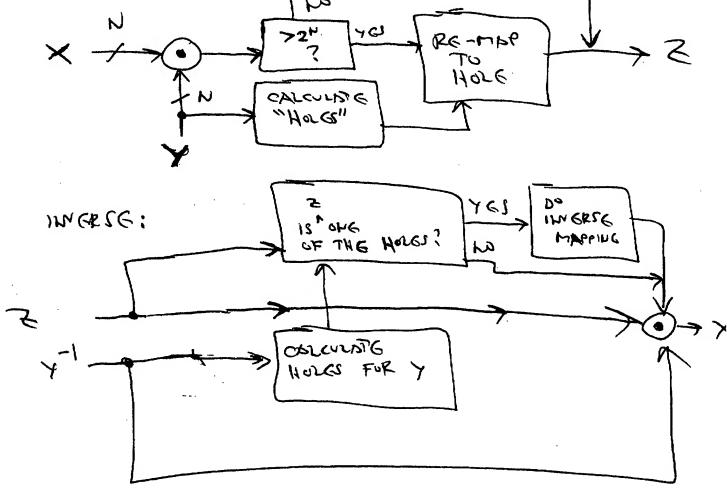
Graph: Product of probabilities of obtaining pass-through value for each iteration, given n iteration 3

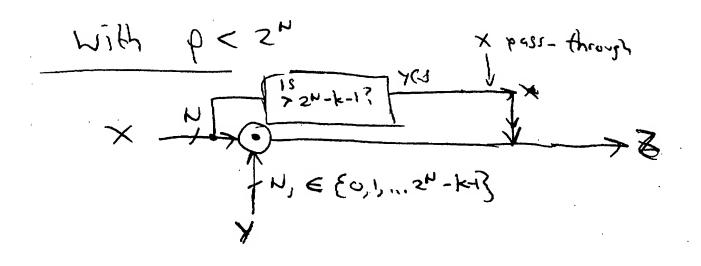


Need for exception handling can be made vanishingly unlikely.

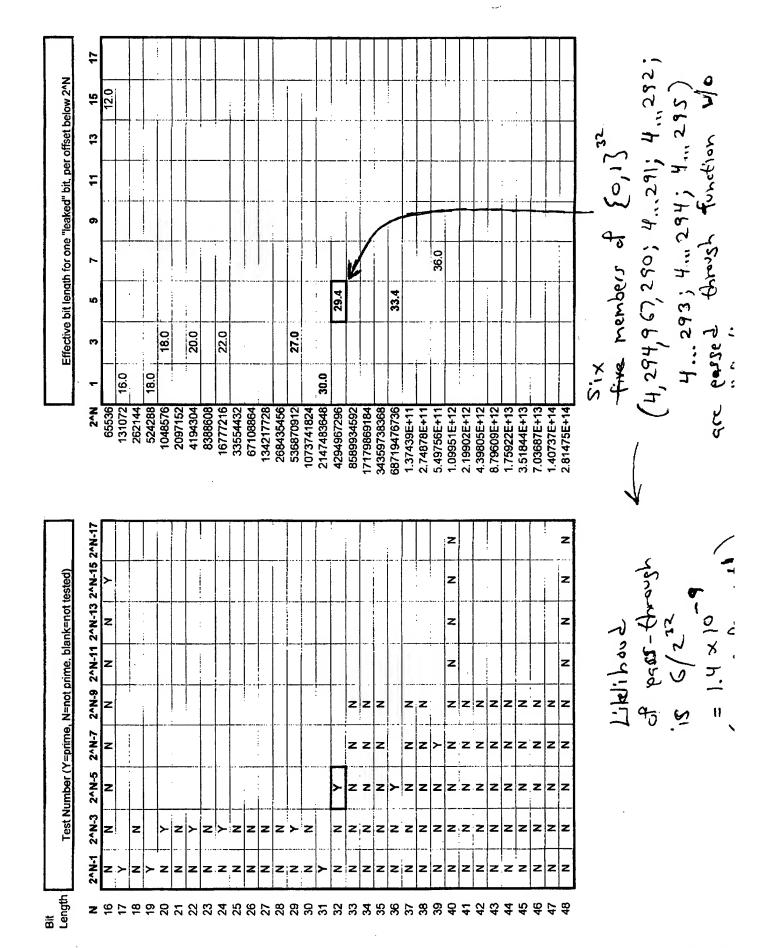
MODIFIED "O" with p>2": TO LARK ONSDE 761

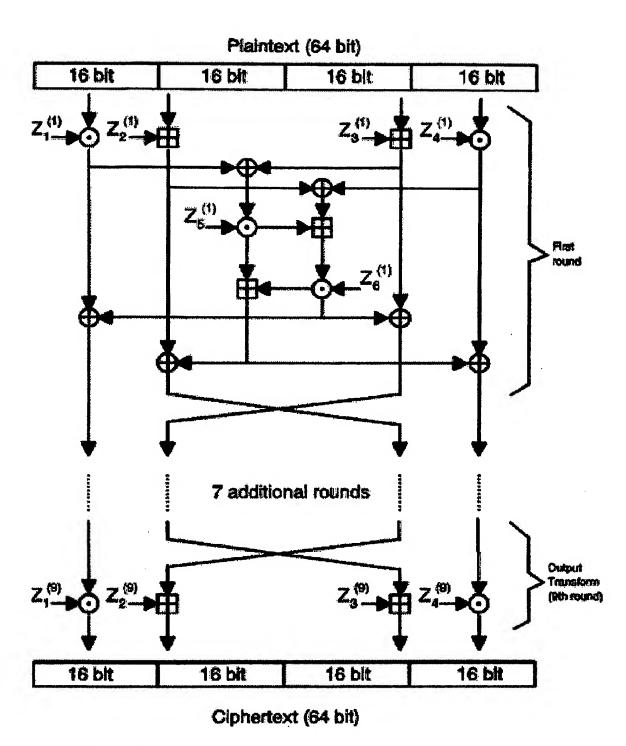






# Interesting Primes (closest to 2")





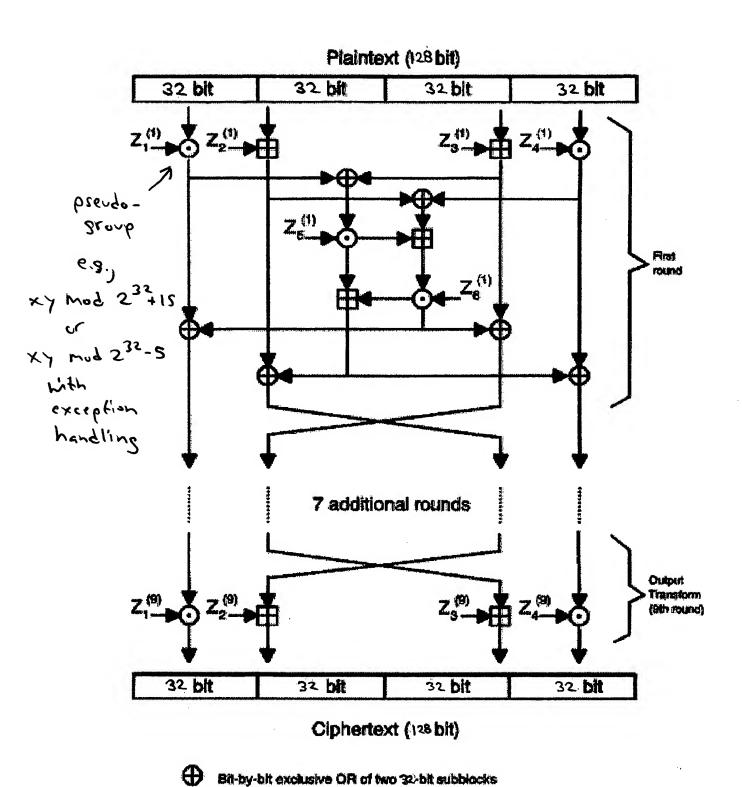
Bit-by-bit exclusive OR of two 16-bit subblocks

| DEA CIPHER

Addition modulo2\*\*16 of two 16 bit integers

Multiplication modulo2\*\*16 + 1 of two 16-bit integers
(subblock of all zeroes corresponds to 2\*\*18)

From H.A.C. CHandbook of Applied Comptusiaphy)



Adapted from H. L. C.

Addition modulo 2 32 of two 32 bit integers

Multiplication modulo2\*32-5 of two 32-bit integers

_	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
1	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
2	2	4	6	8	10	12	1	3	5	7	9	11	13	14	15	16
3	3	6	9	12	2	5	8	11	1	4	7	10	13	14	15	16
4	4	8	12	3	7	11	2	6	10	1	5	9	13	14	15	16
5	5	10	2	7	12	4	9	1	6	11	3	8	13	14	15	16
6	6	12	5	11	4	10	3	9	2	8	1	7	13	14	15	16
7	7	1	8	2	9	3	10	4	11	5	12	6	13	14	15	16
8	8	3	11	6	1	9	4	12	7	2	10	5	13	14	15	16
9	9	5	1	10	6	2	11	7	3	12	8	4	13	14	15	16
10	10	7	4	1	11	8	5	2	12	9	6	3	13	14	15	16
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$$N = 4$$
,  $p = 2^{N} - 3$ 

_	1	2	3	4	5	6	7	8
1	1	2	3	4	5	6	7	8
2	2	4	6	1	3	5	7	8
3	3	6	2	5	1	4	7	8
4	4	1	5	2	6	3	7	8
5	5	3	1	6	4	2	7	8
6	6	5	4	3	2	1	7	8
7			WK.					
8								7

$$N = 3, \varphi = 2^{N} - 1$$

	-	2	ю	:	20,537	20,538	20,539	:	16,777,211	16,777,212	18,777,213	16,777,214	18,777,215	16,777,216
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7	۲	4	Ø	:	41,074	41,076	41,078	:	16,777,209	16,777,211	16,777,213	16,777,214	16,777,215	16,777,216
က	n	ø	တ	:	61,611	61,614	61,617	:	16,777,207	16,777,210	16,777,213	16,777,214	16,777,215	16,777,216
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20,637	20,537	41,074	61,611	:	2,338,044	2,358,581	2,379,118	:	16,736,139	18,758,676	16,777,213	16,777,214	16,777,215	18,777,216
20,538	20,538	41.076	61.614	:	2,358,581	2,379,119	2,399,657	:	16,736,137	16,756,675	16,777,213	16,777,214	16,777,215	16,777,216
20,539	20,539	41,078	61,617	:	2,379,118	2,399,657	2,420,196	:	16,738,135	16,758,674	16,777,213	16,777,214	16,777,215	16,777,216
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16,777,211	16,777,211	16,777,209	16,777,207	:	16,736,139	16,736,137	16,736,135	•	4	8	16,777,213	16,777,214	16,777,215	16,777,216
16,777,212	16,777,212	16,777,211	16,777,210	:	16,756,676	16,758,675	16,756,874	•	2	1	16,777,213	18,777,214	16,777,215	16,777.216
16,777,243														
16,777,214						=	SHIEGAL KEY VALUES	\   	SH					
16,777,215						1	7		)					
16,777,216														

N = 24,  $p = 2^{N} - 3$ 

Multiplicative Group O, don (pseudogroup)  $f(x,y) = xy \mod 2^N-k$ , where x,y  $^N-k$ ; = x where  $x < 2^N-k$ . (y always  $< 2^N-k$ .)

N=8 bits

 $2^{N} = 256$ 

k = 5

p = 251

prime modulus

Linear

resion

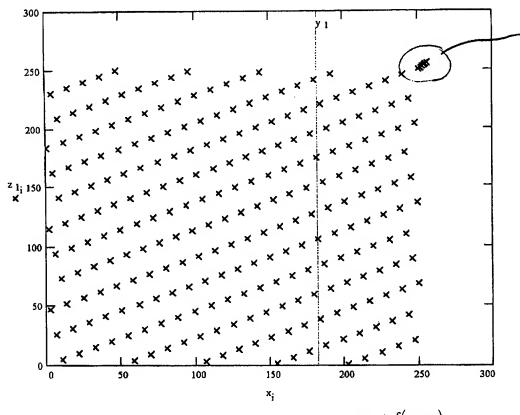
exception

bec Eps

Where × > p-1

invention

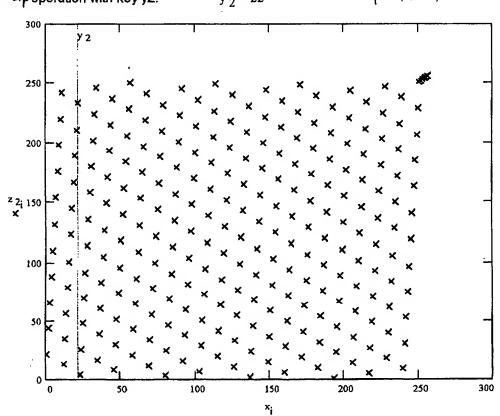
 $\mathbf{z}_{1_{i}} := \mathbf{f}(\mathbf{x}_{i}, \mathbf{y}_{1})$ Pseudogroup operation with key y1:  $y_1 = 183$ 



psedogroupoperation with key y2:

 $y_2 = 22$ 

 $z_{2_i} = f(x_i, y_2)$ 



3-8

Multiplicative Group O ion (PSeuclogroup)

f(x,y) = xy mod 2^N-k, where x,y ^N-k; = x where  $x < 2^N-k$ . (y always  $< 2^N-k$ .)

N = 8bits  $2^{N} = 256$ 

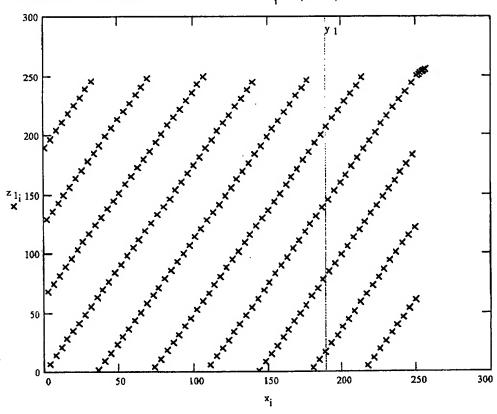
k = 5

p = 251

prime modulus

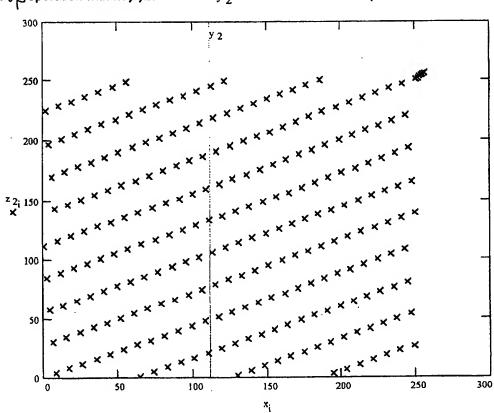
Pseudogroupoperation with key y1:  $y_1 = 190$ 

 $z_{1_i} := f(x_i, y_1)$ 



Pseudo group operation with key y2:

 $z_{2_i} := f(x_i, y_2)$ 



Multiplicative Group O ion (pseudogroup)

f(x,y) = xy mod 2^N-k, wnere x,y ^N-k;
= x where x < 2^N-k. (y always < 2^N-k.)

N=8 bits

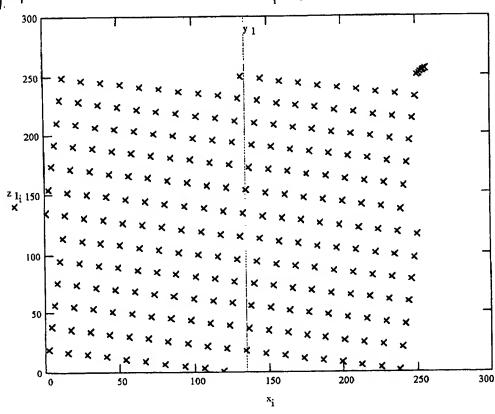
 $2^{N} = 256$ 

k = 5

p = 251

prime modulus

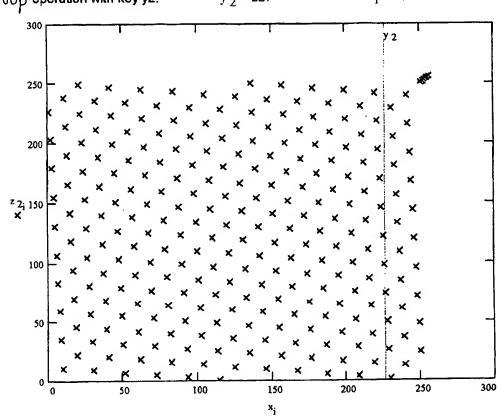
PSeudogroupoperation with key y1:  $y_1 = 135$   $z_{1_i} = f(x_i, y_1)$ 



Pseudogroup operation with key y2:

 $y_2 = 227$ 

 $z_{2_i} = f(x_i, y_2)$ 



Multiplicative Group O aion(Pseudog(OU))  $f(x,y) = xy \mod 2^N-k$ , where x,y  $^N-k$ ; = x where x < 2^N-k. (y always < 2^N-k.) prime modulus  $2^{N} = 256$ p = 251k = 5N = 8bits  $z_1 := f(x_i, y_1)$ Pseudogroupoperation with key y1:  $y_1 = 100$ \*\*\*\*\*\*\*\*\*\*\* ·\* 300 200 150 x,  $z_{2_i} := f(x_i, y_2)$ Pseudo group operation with key y2:  $y_2 = 48$ У2 250

Хj

Multiplicative Group O  $don(\beta \leq 0 dog = 0 dog$ 

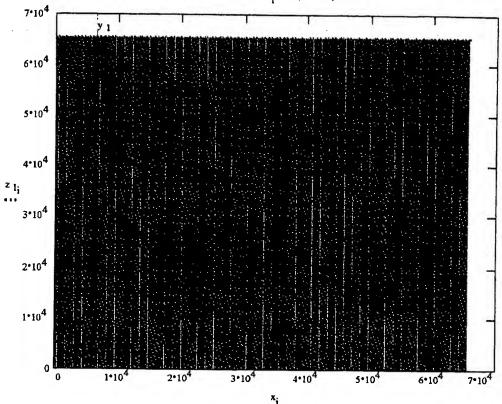
N = 16 bits

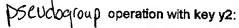
 $2^{N} = 65536$ 

k = 15

p = 65521 prime modulus

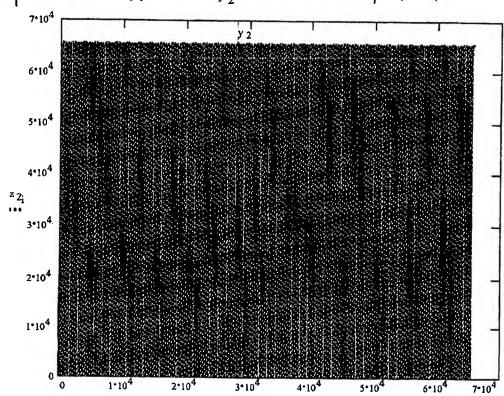
Pseudogroup operation with key y1:  $y_1 = 6595$   $z_{1_i} = f(x_i, y_1)$ 



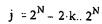


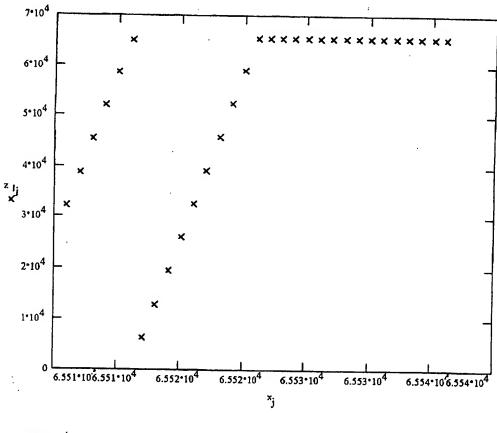
y<sub>2</sub> = 27939

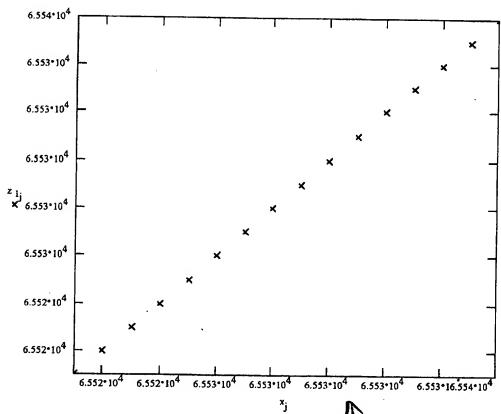
 $z_{2_i} := f(x_i, y_2)$ 



×i







Linear Exception

Region

Multiplicative Group O  $xion (p \le 0 dog roup)$   $f(x,y) = xy \mod 2^N-k, v_{int}ere x, y^N-k;$  $= x \text{ where } x < 2^N-k. (y always < 2^N-k.)$ 

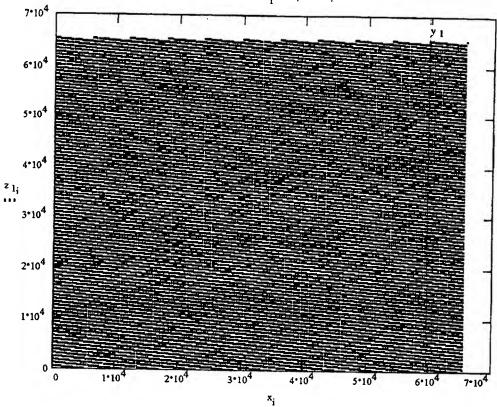
N = 16 bits

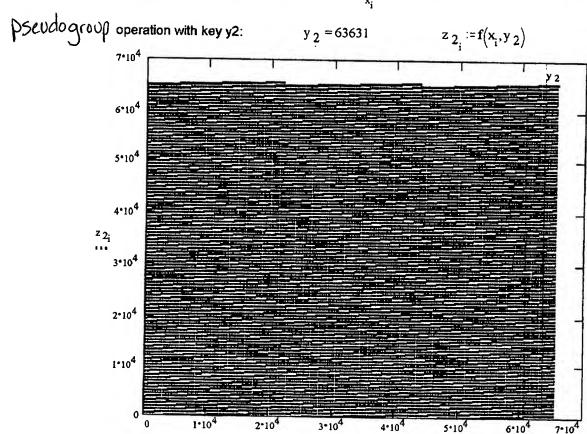
 $2^{N} = 65536$ 

k = 15

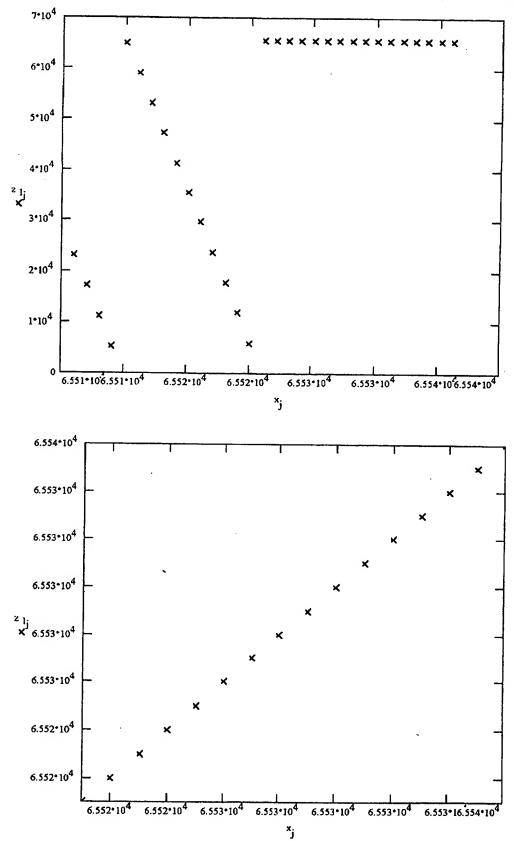
p = 65521 prime modulus

 $\rho$  5eV dogroup operation with key y1:  $y_1 = 59624$   $z_{1_i} := f(x_i, y_1)$ 





x,



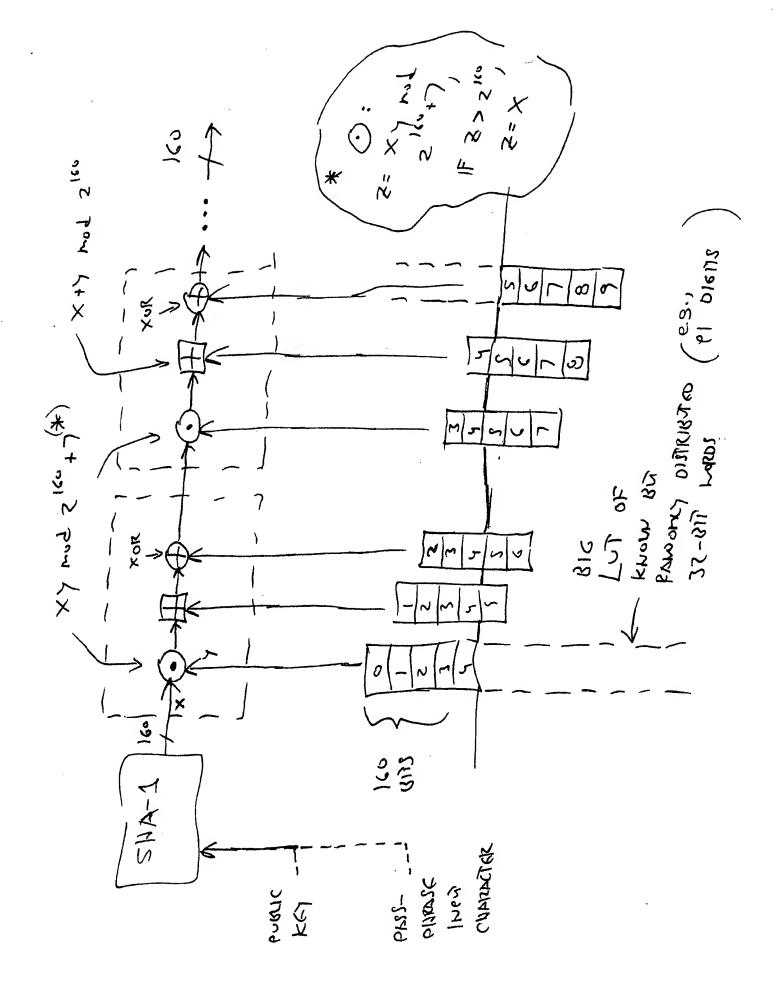
A post to sci.crypt suggested that increasing the IDEA subblock to 32-bit subblocks from the design of 16 bits would increase the second the IDEA algorithm to a factor of  $2^{32}$ . Lai answered that the strength of the algorithm was based on the fact that  $2^{16} + 1$  is a prime, which  $2^{32}+1$  is not. Lai suggests that the stronger properties of the algorithm would be compromised. The point is that small changes in structure have adverse ripple effects on the cryptographic structure that become serious implementation errors. We look at other implementation errors in Chapter 13.

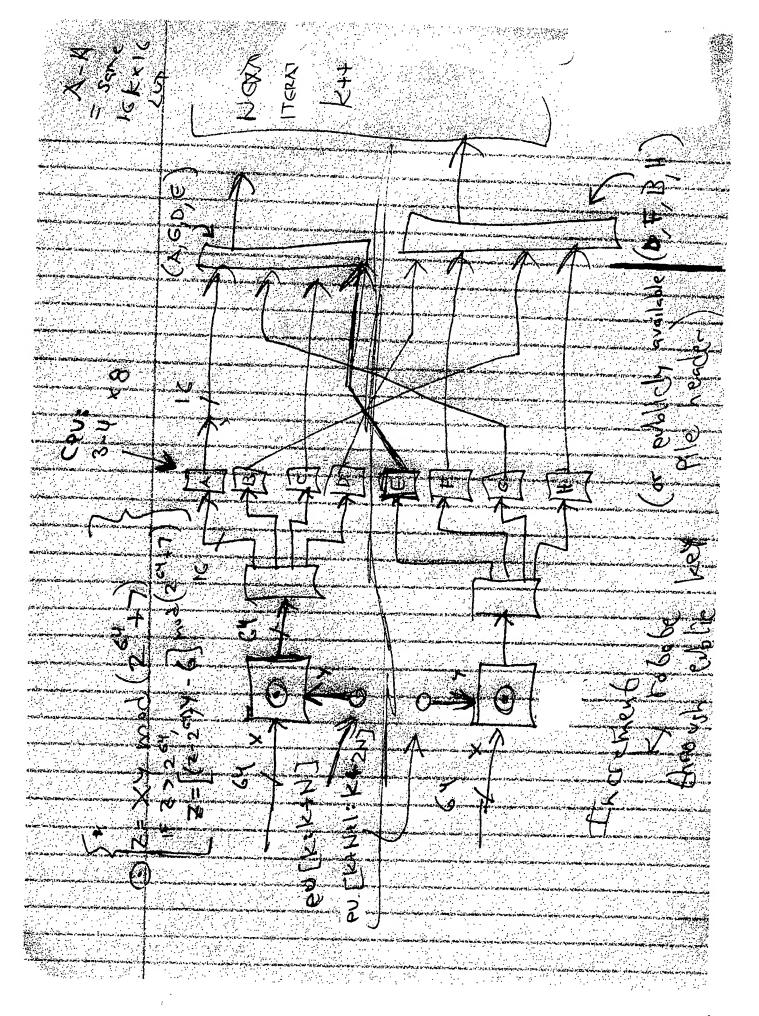
Not so limited

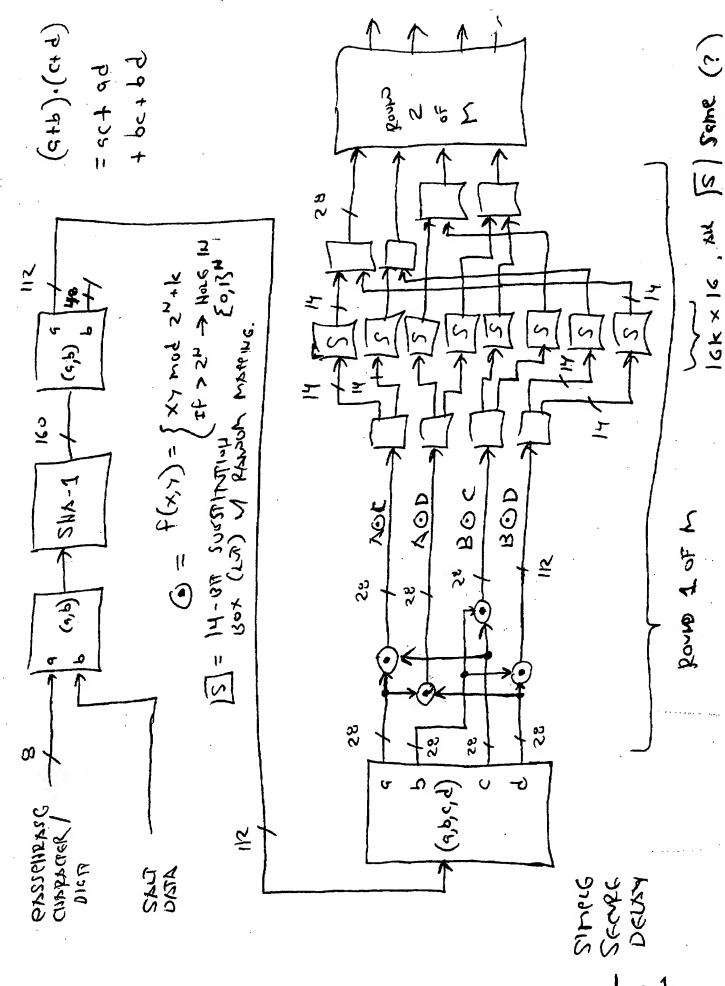
bzengodronb Mf7

×y mod 2"±k modification to 0

5% 01 X V D = 524 Jus set WAR prevedograve ク のフ exception







L-1

			Number	(Y=prime	, N=not p	Test Number (Y=prime, N=not prime, blank=not tested)	k=not tes	ited)		<b></b>				Likelihood of Exception (-bits)	of Excer	otion (-bit	(S)		
131072   1	Z*N+1	٨	2^N+5	2^N+7	2^N+9	2^N+11	2^N+13	2^N+15	2^N+51	2^N	-	3	2	~	6	=	13	15	51
N	z									131072	0.61	14.0							
N	z	٨								262144		16.0							
N	z									524288		2						1	
N	z	z	z	>					Ī	1048576				47.0					
N	Z									2042152				2					
8388608    V	Z									4194304				İ		-   	1		
N	z			Ī						8388608		1							
Y	z	z		z	z	z	z	z		16777216					-	+ 	+		
Y	z			Ĺ						33554432	i								
1342/7728 1342/7	z				ļ					67108864						1	1		
288435466 28670912 288435466 2860 287 28870912 298870912 298870912 29870912	Z					!				13421772B	†	$\dagger$					+	-	Ī
Sebrogata   Sebr	Z	Υ								768435456		0 30							
1073741824	Z					İ	   			536870012	†- 	70.07	1	-  -	İ	<del> </del>	1		
N	z									1073741824				1		1			
4284967296  1.09951E+12  28.0  1.09951E+12  28.0  1.09951E+12  28.0  1.09951E+13  28.0  1.09951E+14  28.0  1.09951E+15  28.0  1.09951E+16  28.0  1.09951E+16  28.0  1.09951E+16  28.0  1.09951E+16  28.0  1.09951E+16  28.0  1.09951E+16  1.09951E+16  1.09951E+16  1.09951E+16  1.09951E+16  1.09951E+17  1.09951E+18  1.09951E+19  1.099	z									2147483648	<del> </del> -		1	-	Ī	+	+		
N	z	z	z	z	z	z	z	>		4294967296	+		T			+	†	j	1
N	z									1.09951E+12		1					- -	2.0	
N	z	z	Z	z	z	z	z	z		7.20576F+16			T				1	1	
7 92282E+28 3 40282E+38 3 40282E+38 3 40282E+38 3 40282E+38 3 40282E+38 3 40282E+38 3 40282E+38 3 40282E+38	z	z	z	z	z	z	z	z		1 84467E+19	+	1	1		Ī	+	<del> </del>	+	
3.40282E+38 N N N N N N N N N N N N N N N N N N N	z	z	z	z	z	z	<b> </b>			7 92282F+28	<del> </del> 	-	† 		1		000		
######################################	z							 		3.40282F+38		+			Ī	+	27.00	-	
	z	z	z	z	z	z	z	z	(+51)	#RFF!	+				1				
										<u> </u>		+	1				+	+	122.3
												T	T	†		+	+	1	
										1	†·	<del> </del> -		†	-†- 	- -	1		
											+	+			<del> </del>		† 	1	l
											1		T	+	- <del> </del> -	+	-		
					-				İ	1,	1	-	1		<u> </u>	1	-	<del> </del>	İ
								-		- +	1	+	†	+	1	-			
			-			   		-			+	+		1	+	+		 	Ì
								<del> -</del> 			+	$\dagger$		+		+	- <del> </del>	1	
		-				 	<del> </del>	<del> -</del>				+			+		+		

17 Effective bit length for one "leaked" bit, per offset below 2^N 15.0 13 6 36.0 29.4 33.4 S 18.0 20.0 22.0 27.0 n 16.0 18.0 30.0 2097152 4194304 8388608 16777216 33554432 67108864 524288 1048576 1073741824 131072 262144 8589934592 17179869184 3.51844E+13 7.03687E+13 1.40737E+14 2.81475E+14 4.39805E+12 8.79609E+12 134217728 268435456 536870912 1.09951E+12 4294967296 68719476736 2.19902E+12 34359738368 1.37439E+11 2.74878E+11 5.49756E+11 1.75922E+13 2^N-17 z z 2<sup>n</sup>N-15 Test Number (Y=prime, N=not prime, blank=not tested) z Z 2~N-11 2~N-13 z z z Z z z 5^N-5 z zz z zz z z z z Z z Z z z 2^N-7 z ZZZ zz ZZZZ z z z z 2^N-5 z z zz ZZ ZZZ Z zz z 2^N-3 z z z z z z z Z Z z Z z ZZZZZ z z z z 2^N-1 z z z Z z Z ZZ z z ZZX z z Z Z z z z z z Z z z z z Bit Length 

TABLE I-1: "Pseudogroup" Operation: p=11 (prime), m=3 bits

			K	EY	VAL	-VES	; –		
1	_	1	2	3	4	5	6	7	8
1	1	1	2	3	4	5	6	7	8
_	2	2	4	6	8	10	1	3	5
INPUT	3	3	6	9	1	4	7	10	2
VALUES	4	4	8	1	5	9	2	6	10
1	5	5	10	4	9	3	8	2	7
	6	6	1	7	2	8	3	9	4
V	7	7	3	10	6	2	9	5	1
	8	8	5	2	10	7	4	1	9

TABLE I-2: Key values AND Yolcs associated with them

Each row contains in put values producing a given output, except for holes (black squares).

Each column contains key values producing outputs for a given input, except for holes.

:			KE	4 U	ALU	ES		>	
1 : -		1	2	3	4	5	6	7	8
İ	1	1	6	4	3		2	8	7
autput	2 3	2	1	8_	6	7	4	5	3
	3	3	7	1		5	6	2	
VALUES	4	4	2	5	1	3	8		6
1	5	5	8		4	1		7	2
	6	6_	3	2	7		1	4	
•	7	7		6		8	3	1	5
	8	8	4		2	6	5		1

A "hole" is an output value that will not occur for any in the set {1,2,...2^m} of possible key values, given a particular input value in that set.

Note from table I-1 and I-2 that the output value of 7 does not occur with Key value of 2.

TABLE II-1:(PRIOR PRT) Multiplicative Group Operation x\*y mod p, p=17 (prime), m=4 bits — ドミソ マルレミシー

						•		•									
•	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	
1	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	•
2	2	4	6	8	10	12	14	16	1	3	5	7	9	11	13	15	1
3	3	6	9	12	15	1	4	7	10	13	16	2	5	8	11	14	•
4	4	8	12	16	3	7	11	15	2	6	10	14	1	5	9	13	
5	5	10	15	3	8	13	1	6	11	16	4	9	14	2	7		NOTNE? INGU
6	6	12	1	7	13	2	8	14	3	9	15	4	10	16	5	12 11	VALUEC
7	7	14	4	11	1	8	15	5	12	2	9	16	6	13	3	10	•
8	8	16	7	15	6	14	5	13	4	12	3	11	2	10	1	9	j
9	9	1	10	2	11	3	12	4	13	5	14	6	15	7	16	8	<b>₩</b>
10	10	3	13	6	16	9	2	12	5	15	8	1	11	4	14	7	
11	11	5	16	10	4	15	9	3	14	8	2	13	7	1	12	6	
12	12	7	2	14	9	4	16	11	6	1	13	8	3	15	10		
13	13	9	5	1	14	10	6	2	15	11	7	3	16	12	8	5	
14	14	11	8	5	2	16	13	10	7	4	1	15	12	9	6	4	
15	15	13	11	9	7	5	3	1	16	14	12	10	8	6		3	
16	16	15	14	13	12	11	10	9	8	7	6	5	4	3	4 2	2	
-							<u> </u>						-+	<u> </u>		- 1	

TABLE II-2: Product Operation: p=19 (prime), m=4 bits

					· K	EY	VA	WI	-2-	<b>-&gt;</b>							
	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	
1	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	
2	2	4	6	8	10	12	14	16	18	1	3	5	7	9	11	13	
2 3 4	3	6	9	12	15	18	2	5	8	11	14	17	1	4	7	10	1
	4	8	12	16	1	5	9	13	17	2	6	10	14	18	3	7	}
5 6 7	5	10	15	1	6	11	16	2	7	12	17	3	8	13	18	4	INPUT
7	6 7	12	18	5	11	17	4	10	16	3	9	15	2	8	14	- 1	4
8	8	14 16	2 5	9	16	4	11	18	6	13	1	8	15	3	10	17	VALUES
9	9	18	8	13	2	10	18	7	15	4	12	1	9	17	6	14	•
10	10	10	11	17	7	16	6	15	5	14	4	13	3	12	2	11	
11	11	3		2	12	3	13	4	14	5	15	6	16	7	17	8	V
12	12	5 5	14 17	6	17	9	1	12	4	15	7	18	10	2	13	5	
13	13	7	1	10	3	15	8	1	13	6	18	11	4	16	9	2	
14	14	9	4	14 18	8	2	15	9	3	16	10	4	17	11	5	18	
15	15	11	7	3	13	8	3	17	12	7	2	16	11	6	1	15	
16	16	13	10	3 7	18	14	10	6	2	17	13	9	5	1	16	12	
٠٠٢			-10		4	1	17	14	11	8	5	2	18	15	12	9	

TABLE II-3: Key values and holes associated with them Each row contains input values producing a given output, except for holes (black squares). Each column contains key values producing outputs for a given input, except for holes.

				./ -	. 1				_	•		•			, 0,,0	Opt it	or noics.
		-		KE	Y	VA	LU	E5	~~	>							
	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	
1	1	10	13	5	4	16	11	12		2	7	8	3	15	14	6	
2	2	1	7	10	8	13	3	5	15	4	14	16	6	11	9	12	
3	3	11	1	15	12	10	14		13	6	2	5	9	7	41	12	
4	4	2	14	1	16	7	6	10	11	8	9	13	12	3		5	
5	5	12	8	6	1	4		3	9	10	16	2	15		13	11	
6	6	3	2	11	5	1	9	15	7	12	4	10		14	8		OUT PUT
7	7	13	15	16	9		1	8	5	14	111	·	2	10	3	4	
8	8	4	9	2	13	14	12	1	3	16		7	5	6		10	VALUES
9	9	14	3	7		11	4	13	1		6	15	8	2	12	16	ALLOE 2
10	10	5	16	12	2	8	15	6		1	13	4	11	Ź	7	3	ı
11	11	15	10		6	5	7		16	3	1	12	14	13	2	9	
12	12	6	4	3	10	2		11	14	5	8	1		9	16	15	•
13	13	16		8	14		10	4	12	7	15	9	1	5	11	2	
14	14	7	11	13		15	2	16	10	9	3	ŭ	4	1	6	8	
15	15		5		3	12	13	9	8	11	10	6	7	16	1		
16	16	8		4	7	9	5	2	6	13		14	10	12	15	14	
_																	

A "hole" is an output value that will not occur for any in the set {1,2,...2^m} of possible input values, given a particular Key value in that set.

in put value

Unmedified Modulo

TABLE III-1: Product Operation: p=37 (prime), m=5 bits

- KEY VALUE -

overthowing values (>32), which would be pseudograup emapped to holes with Shown in italics

N-4

given

Example of holes

do not

29,31

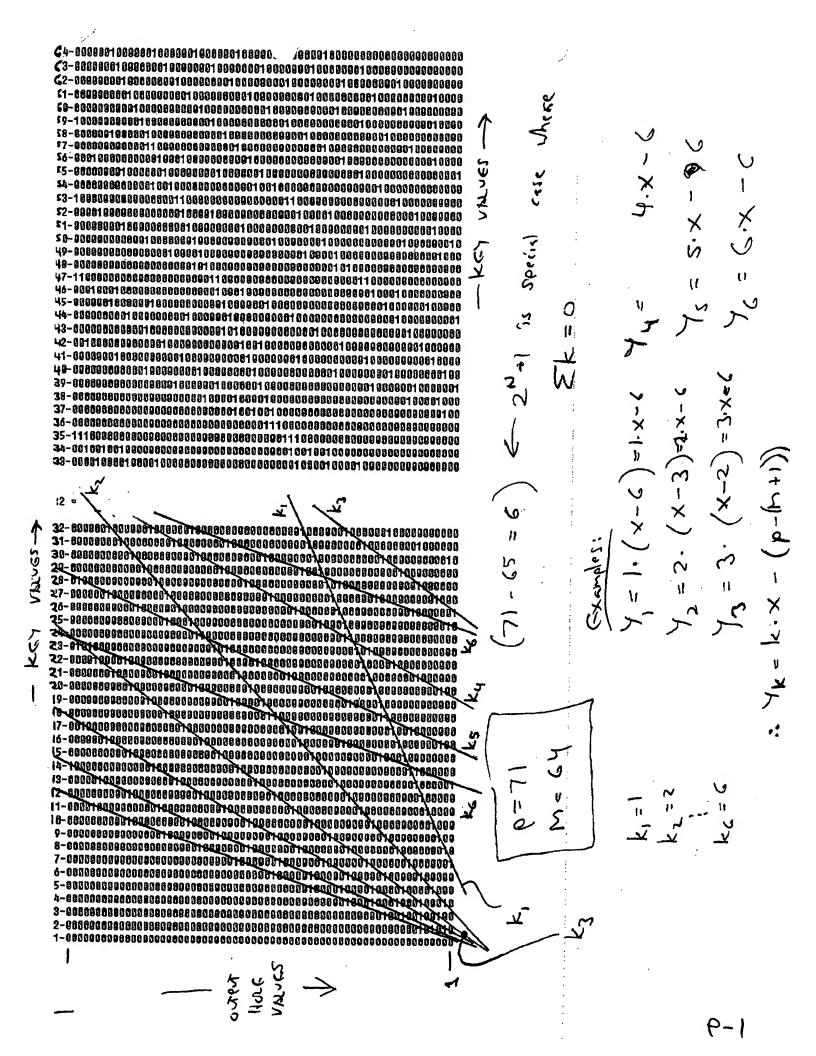
TABLE III-2: Key values and holes associated with thum Each row contains keys values producing a given output, except for holes (black squares). Each column contains key values producting outputs for a given input, except for holes.

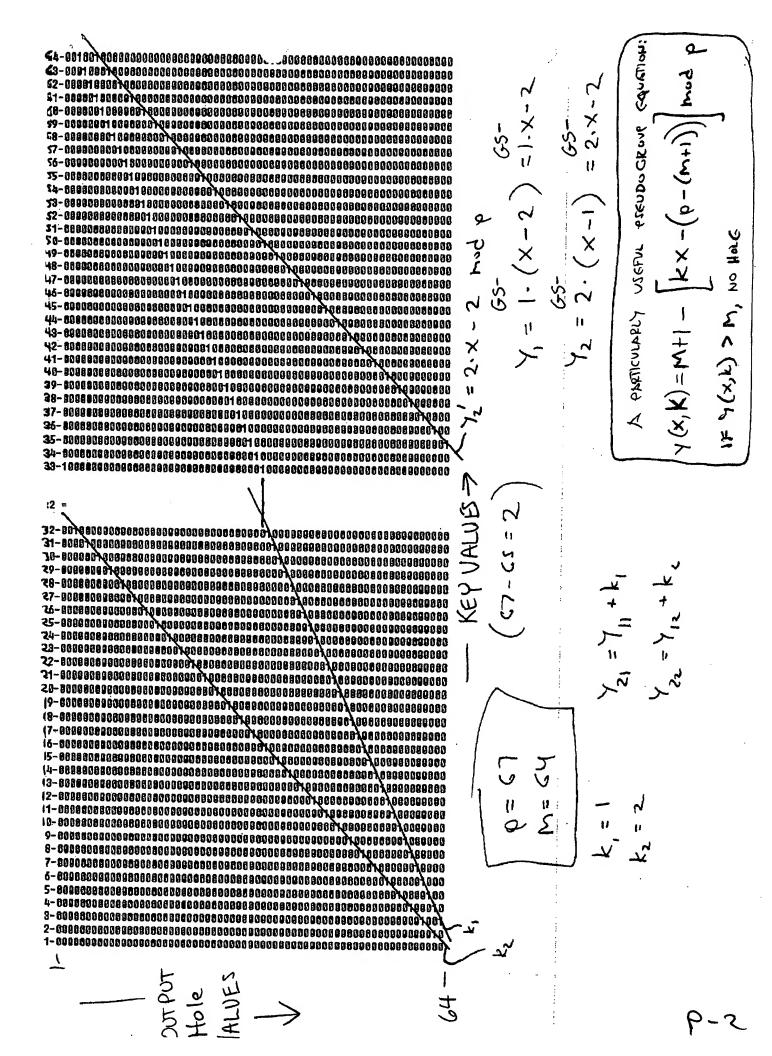
A "hole" is an output value that will not occur for any in the set {1,2,...2^m} of possible would values, given a particular Key value in that set. input value

Encryption (forward) q = plaintext x = key  $M = 2^N$ 1.  $Z = qx \mod p$  p = prime just2. If Z > M,  $Z = kx - (p-M-1) \mod p$ end.

Decryption (inverse)  $Z = \text{dipherfext} \qquad \times^{-1} = \text{inverse key}$ 1.  $K = X^{-1} \left( Z + (p - M - 1) \right) \text{ mod } p$ 2. If  $1 \le k \le (p - M - 1)$ , Z = M + k; end  $Q = Z \times^{-1} \text{ mod } p$ 

 $\bigcirc$  -1





```
function h = holes(y,p,M,short);
% h = holes(y,p,N, short);
& CONFIDENTIAL AND PROPRIETARY
% Edwin A. Suominen
% Finds "holes" - skipped values of set {0,1}^N in result
% of x*y mod p.
% Number of values in set S:{0,1}^N
% M = 2^N;
% For vector inputs...
for k=1:length(y)
s = 1:M; % Working array of values in set S
% Zero out values in set that occur ("non-holes")
  for i = 1:M
    j = product(i,y(k),p); % xy mod p
    % Zero out if not a hole
    if j \le M, s(j) = 0; end
  end
% Sort decending to get holes first
  z = -sort(-s);
if nargin>3,
 % There can be no more than p-2^N-1 holes.
 % Limit size of result vector(s) accordingly.
   z = z(1:p-M-1);
% Add result vector to array (if vector y)
  h(:,k) = z';
else
  h(:,k) = s';
end
end
```

end

```
function [y1,y2] = holeplot(p,M);
% y = holeplot(p, M)
% Modulus is 2^N+k, where k is odd
\theta p = M + k;
% Create 1010...matrix of holes
for i = 1:M/2,
  % Get holes for each column
  s = holes(M+1-i,p,M);
  % Convert to 1010... format
  s = s > 0;
  % Convert to text string
  col = M+1-i
  if col<10,
   rl = [' ' num2str(col, 2)];
  else
    rl = num2str(col, 2);
  y1(i,:) = [rl '-' num2str(s,1)];
end
for i = 1:M/2,
  % Get holes for each column
  s = holes(M+1-(i+M/2), p, M);
  % Convert to 1010... format
  s = s > 0;
  % Convert to text string
  col = M/2 + 1 - i
  if col<10,
   rl = [' ' num2str(col,2)];
    rl = num2str(col, 2);
  end
  y2(i,:) = [rl '-' num2str(s,1)];
end
```

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